

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
22 February 2001 (22.02.2001)

PCT

(10) International Publication Number  
**WO 01/12952 A1**

(51) International Patent Classification:  
9/08. C09K 7/08

E21D 9/06.

(74) Agent: MCSTEAG, John, Anthony; MBT (Schweiz) AG,  
Patents And Trade Marks, Vulkanstrasse 110, CH-8048  
Zürich (CH).

(21) International Application Number: PCT/EP00/05991

(22) International Filing Date: 28 June 2000 (28.06.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
9918992.0 12 August 1999 (12.08.1999) GB

(71) Applicant (for all designated States except US): MBT  
HOLDING AG [CH/CH]; Vulkanstrasse 110, CH-8048  
Zürich (CH).

(72) Inventor; and

(75) Inventor/Applicant (for US only): ELLENBERGER,  
Peter [CH/CH]; Rebbergsrassc 97, CH-8706 Feldmeilen  
(CH).

(81) Designated States (national): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,  
DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,  
HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,  
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,  
NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,  
TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GI, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW). Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM). European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
IT, LU, MC, NL, PT, SE). OAPI patent (BF, BJ, CF, CG,  
CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— With international search report.

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: TUNNEL BORING METHOD

(57) Abstract: A process of boring a tunnel using an earth-pressure balance shield boring tunnelling machine having a cutting head, an excavation chamber for soil removed by boring and conveying means for removal of said soil from the excavation chamber; wherein there is injected into a stratum being bored at the cutting head a foamed aqueous solution, characterised in that (a) the aqueous solution contains two essential components which are (i) a sulphate- or sulphonate-containing anionic surfactant, and (ii)  $\beta$ -naphthalene sulphonate-formaldehyde condensate; and in that (b) there is applied to the soil removed by boring in at least one of the excavation chamber and the conveyor a second aqueous solution containing essentially a high molecular weight polyethylene oxide and optionally a sulphate- or sulphonate-containing anionic surfactant. The process allows easy removal of soil from the cutting head to the excavation chamber and from the excavation chamber out of the machine. It is particularly effective for sticky soils with high proportions of clay and a high water content.

WO 01/12952 A1

## TUNNEL BORING METHOD

This invention relates to tunnel boring and to compositions for use therein.

5 Earth-pressure balance shield tunnel boring machines (EPBS) are increasingly frequently used in the boring of tunnels in non-rock strata, because they offer many advantages, such as the ability to bore in a wide variety of strata. An EPBS comprises a circular rotatable cutting head mounted on a cylindrical shield of similar diameter such that its axis of rotation coincides with the longitudinal axis of the shield. Within the shield  
10 there are contained means for feeding materials to the cutting head and means for conveying away the soil. The soil thus passes through apertures in the cutting head to an excavation chamber immediately behind the cutting head, from which it is then removed by conveyor, generally a screw-type conveyor.

15 EPBS perform especially well in cohesive soils with good plastic properties, but they are not so efficient in others, for example in thick and sticky soils. One solution to this problem was the addition at the cutting head of a foamed aqueous material, which makes soil removal much easier. An example of such a process can be found in PCT published application WO 99/18330.

20

Ideally, the soil at the cutting head should be rendered sufficiently plastic by injection of a foamed material, such that it passes through the cutting head into the excavation chamber. At this point, it should be suitable for disposal by conveyor, but this is not always the case. For example, a problem encountered with soil from strata with a  
25 high proportion of clay and water is that the soil remains very fluid, and this not only makes efficient removal from the excavation chamber difficult, but also results in soil flowing out of the excavation chamber behind the shield.

It has now been found that it is possible to remove such soil efficiently by using a  
30 modified process involving a foaming agent. The invention therefore provides a process of boring a tunnel using an earth-pressure balance shield boring tunnelling machine having a cutting head, an excavation chamber for soil removed by boring and conveying

means for removal of said soil from the excavation chamber; wherein there is injected into a stratum being bored at the cutting head a foamed aqueous solution, characterised in that

- 5 (a) the aqueous solution contains two essential components which are (i) a sulphate- or sulphonate-containing anionic surfactant, and (ii)  $\beta$ -naphthalene sulphonate-formaldehyde condensate; and in that
- 10 (b) there is applied to the soil removed by boring in at least one of the excavation chamber and the conveyor a second aqueous solution containing essentially a high molecular weight polyethylene oxide and optionally a sulphate- or sulphonate-containing anionic surfactant.

The aqueous solution described at (a) above shall hereinafter be referred to as "the first aqueous solution".

15

The invention is based on the discovery that, in the conditions of tunnelling, the  $\beta$ -naphthalene sulfonate-formaldehyde condensate (hereinafter referred to by its well-known abbreviation "BNS") and the polyethylene glycol interact in a way whose precise nature is not known but which results in a stiffening of the medium in which they occur together.

20 As a result, the soil containing both becomes less fluid and more suitable for removal by conveyor.

The anionic surfactant, which is an essential component in the first aqueous solution and an optional component in the second aqueous solution, may be selected from any

25 such sulphate- or sulphonate-containing surfactant known to the art. One particularly preferred type is polyalkylene alkyl ether sulphate, where the polyalkylene oxide chain has an average chain length of 2-3 alkylene oxide units. Typical commercial materials include the "Alsco" (trade mark) series of Toho Chemical Industry Co.

30 Other particularly preferred types include monoisopropanol ammonium lauryl alcohol sulphate (commercially available as, for example, "Sulfetal" (trade mark) Cjot 160,  $\alpha$ -olefin sulphonate (CAS Registry Number 68439-57-6), commercially available as.

for example, "Rhodocal" (trade mark) A-246-L, and  $C_{8-22}$  fatty alcohol sulphate salts and  $C_{8-22}$  fatty alcohol ether sulphate salts, the fatty alcohol preferably being lauryl alcohol, the ether being an ether formed with a alkylene oxide (preferably ethylene oxide) chain of from 1-3 alkylene oxide units, and the salt-forming cation being preferably selected from  
5 alkali metal, magnesium and alkanolamine.

The BNS useful in this invention may be selected from any of the wide range of such materials commercially available. BNS is used in large quantities in the construction industry as a so-called "superplasticiser" for concrete. Examples of commercially-  
10 available materials include RHEOBUILD (trade mark) 1100 and RHEOBUILD 5500.

Polyethylene oxides (PEO) are well-known items of commerce and a suitable material may be selected from the wide range available. Typical examples are the "Polyox" (trade mark) materials of Union Carbide. By "high molecular weight" is meant  
15 a material with a weight-average molecular weight of from 100,000 - 8,000,000. The preferred molecular range is from 2,000,000-5,000,000.

In addition to these two essential components, there can be added to the first aqueous solution other materials known to the art to be useful for performing specific  
20 specialised functions, for example, biocides and complexing agents. In some circumstances, foam stabilisers, typically amines with long fatty acid chains, may also be useful. These additional materials may be used in art-recognised quantities. When a polyalkylene alkyl ether sulphate surfactant is used, a useful adjunct material is urea, added to the extent of 0.03-0.8%, preferably 0.06-0.25% by weight of the foamed  
25 solution.

In the preparation of the first aqueous solution (for foaming and addition at the cutting head) the percentage ratio (solids by weight) of surfactant to BNS may vary from 1:99-99:1, more preferably 90:10-10:90, most preferably 70:30-30:70. (It is of course  
30 possible to add the two essential components independently in different aqueous solutions, but this merely increases the handling problems with no increase in performance). For most convenient handling, the two components are dissolved in water

to form a concentrate suitable for further dilution, foaming and injection. Typically, the concentrate will comprise from 5-40%, preferably from 8-20%, by weight solids of surfactant plus condensate. For injection at the cutting head, this concentrate is diluted with water such that it constitutes from 1-20%, preferably from 2-6% by weight of the  
5 final first aqueous solution, and it is then foamed by conventional means to give a foam having from 2-15 times, preferably 8-12 times, the volume of the first aqueous solution prior to foaming. The volume of foam injected is from 10-1000 L, preferably 200-600 L, per cubic metre of soil.

10 The invention additionally provides a foamable liquid concentrate as hereinabove defined.

The invention additionally provides a foam as hereinabove defined.

15 In the preparation of the second aqueous solution, the polyethylene oxide is dissolved in water to the extent of from 0.5-2.0%, preferably 0.8-1.2%, by weight. If sulphate- or sulphonate-containing anionic surfactant is used in the second aqueous solution, it is present to the extent of from 5-40%, preferably from 8-20% by weight of the second solution. The presence of sulphate- or sulphonate-containing anionic surfactant  
20 can assist in the removal of soil from the excavation chamber. When surfactant is present in the second aqueous solution, the solution is foamed by conventional means prior to addition to the excavation chamber and/or the conveyor.

In addition to the essential polyethylene oxide and optional anionic surfactant, the  
25 second aqueous solution can also contain art-recognised materials in known quantities to perform specific functions. Examples include biocides and defoamers.

In use, the first aqueous solution is foamed by conventional means and then injected from ports in the cutting head into the stratum being bored. The soil is removed through  
30 ports in the cutting head into the excavation chamber. To the contents of the excavation chamber is added the second aqueous solution, foamed if it contains an anionic sulphate- or sulphonate-containing surfactant. It is then taken by conveyor out of the excavation

chamber for disposal. As previously mentioned, in addition to or instead of addition of the second aqueous solution to the contents of the excavation chamber, the second aqueous solution may be added at the conveyor.

5       The process of this invention has been found to be very useful in the handling of soils which contain a high proportion of clay and water. As an example is the soil under Singapore, where the boring of tunnels for an underground railway has proven difficult with known techniques. The process of the present invention works well with such soils, allowing an efficient and economical boring and disposal process.

10

The invention additionally provides the use of a foam as hereinabove defined in combination with a second aqueous solution as hereinabove defined in the boring of a tunnel using an earth-balanced shield tunnel boring machine.

15       The invention is further illustrated by the following non-limiting examples.

#### Example 1

An example illustrating the effect of the invention.

20

Samples of soil taken from the site of the Singapore Metro construction (a heavy, watery clay) are subjected to the cone penetration test of British Standard BS 1377 Part 2, a test which gives an indication of the plasticity or fluidity of the material under test - the deeper the penetration of the cone, the more plastic or fluid the sample. The three samples  
25 (Samples 1,2 and 3) are treated as follows:

Sample 1: no treatment.

Sample 2: there is mixed into the soil 0.08% by weight of soil of an anionic surfactant  
30 ("Sulfetal" (trade mark) Cjot 160) and 0.04% of BNS ("Rheobuild" (trade mark) 5500).



Sample 3: as Sample 2, but with the further addition of 0.01% of polyethylene oxide ("Polyox" (trade mark) WSR-301).

The cone penetration tests give the following results:

5

Sample 1: 13.7mm, Sample 2: 20.7mm, Sample 3: 17.5mm

In practical terms, Sample 1 is quite stiff. Addition of the two additives to Sample 2 causes the sample to become quite fluid and easy-flowing. Addition of the polyethylene  
10 oxide in Sample 3 causes that sample to become stiff again, but not so stiff as the original.

#### Example 2

An example of the use of a foamed composition.

15

Again, three samples are tested, this time by the spreading test of German Standard DIN 18 555. This test involves placing a sample on a table which is then dropped and impacted. The spread of the sample after impact is a measure of the fluidity of the sample - the bigger the spread the more fluid the sample. The three samples were treated as  
20 follows, prior to dropping:

Sample 1: no treatment.

Sample 2: treated with a foamed aqueous mixture of  $\alpha$ -olefin sulphonate surfactant  
25 ("Rhodocal" (trade mark) A-246-L) and BNS ("Rheobuild" 5500), such that there is added to the soil 0.08% by weight of surfactant and 0.04% BNS.

Sample 3: as Sample 2, with the further addition of 0.01% by weight of polyethylene oxide ("Polyox" WSR-301).

30

The results are as follows:

Sample 1:10.2cm., Sample 2:15cm.. Sample 3: 12.2cm.

As in Example 1, the addition of surfactant and BNS renders the sample more fluid and the further addition of polyethylene oxide stiffens the material again, but not to the same  
5 stiffness as the original.

On site, this means that the material at the cutting head of a tunnel boring machine can be made fluid, so that it can pass more easily through the cutting head to the excavation chamber, and it can then be stiffened to enhance removal from the chamber.



## Claims:

1. A process of boring a tunnel using an earth-pressure balance shield boring tunnelling machine having a cutting head, an excavation chamber for soil removed by boring and conveying means for removal of said soil from the excavation chamber;  
5 wherein there is injected into a stratum being bored at the cutting head a foamed aqueous solution, characterised in that
  - (a) the aqueous solution contains two essential components which are (i) a  
10 sulphate- or sulphonate-containing anionic surfactant, and (ii)  $\beta$ -naphthalene sulphonate-formaldehyde condensate; and in that
  - (b) there is applied to the soil removed by boring in at least one of the excavation chamber and the conveyor a second aqueous solution containing essentially a  
15 high molecular weight polyethylene oxide and optionally a sulphate- or sulphonate-containing anionic surfactant.
2. A process according to claim 1 characterised in that the anionic surfactant is selected from polyalkylene alkyl ether sulphates with a polyalkylene oxide average  
20 chain length of from 2-3 alkylene oxide units, monoisopropanol ammonium lauryl alcohol sulphates,  $\alpha$ -olefin sulphonates and  $C_{8-22}$  fatty alcohol sulphate salts and  $C_{8-22}$  fatty alcohol ether sulphate salts, the ether being an ether formed with a alkylene oxide chain of from 1-3 alkylene oxide units.
- 25 3. A process according to claim 1 or claim 2, characterised in that the polyethylene oxide in the second aqueous solution has a weight-average molecular weight of from 100,000-2,000,000.
- 30 4. A process according to any one of claims 1-3, characterised in that the percentage ratio (solids by weight) of the anionic surfactant to the  $\beta$ -naphthalene sulphonate-formaldehyde condensate in the first aqueous solution is from 1:99-99:1, more preferably from 90:10-10:90, and most preferably from 70:30-30:70.

5. A process according to any one of claims 1-4, characterised in that the first aqueous solution is used as a concentrate containing from 5-40% by weight anionic surfactant and  $\beta$ -naphthalene sulphonate-formaldehyde condensate, diluted such that it constitutes from 1-20% of the final aqueous solution, the solution being foamed to give a volume of foam which is from 2-15 times the volume of the first aqueous solution prior to foaming.
6. A process according to claim 5, characterised in that the volume of foam injected is from 10-1000 L per cubic metre of soil.
7. A foamable liquid concentrate for use in a process according to claim 1, consisting essentially of water in which is dissolved a sulphate- or sulphonate-containing anionic surfactant and a  $\beta$ -naphthalene sulphonate-formaldehyde condensate, the solids weight percentage ratio of surfactant to condensate in the concentrate being from 99:1-1:99 and the concentrate containing from 5-40% by weight of surfactant plus condensate.
8. An aqueous foam which is the foamed product of a liquid which is an aqueous solution of from 1-20% by weight of the concentrate of claim 7, the volume of the foam being from 2-15 times that of the aqueous solution.
9. The use of a foam according to claim 8 in combination with a second aqueous solution containing essentially a high molecular weight polyethylene oxide and optionally a sulphate- or sulphonate-containing anionic surfactant in the boring of a tunnel using an earth-balanced shield tunnel boring machine.

# INTERNATIONAL SEARCH REPORT

Intern 1al Application No  
PCT/EP 00/05991

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 E21D9/06 E21D9/08 C09K7/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 E21D C09K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 99 18330 A (ELLENBERGER PETER ;MBT HOLDING AG (CH)) 15 April 1999 (1999-04-15) cited in the application page 1, line 19 -page 2, line 31 page 3, line 6 - line 11; examples 1,3,4 ---	1-3,9
Y	US 3 215 200 A (W.H.KIRKPATRICK) 2 November 1965 (1965-11-02) column 2, line 22 -column 3, line 23 column 5, line 42 - line 73; claims 1,2 ---	1-3,9
Y	PATENT ABSTRACTS OF JAPAN vol. 008, no. 098 (C-221), 9 May 1984 (1984-05-09) & JP 59 015476 A (SUMITOMO KAGAKU KOGYO KK), 26 January 1984 (1984-01-26) abstract -----	1,9

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

20 October 2000

Date of mailing of the international search report

27/10/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Boulon. A

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/EP 00/05991

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9918330 A	15-04-1999	AU 9540998 A BR 9812611 A EP 1027528 A	27-04-1999 01-08-2000 16-08-2000
US 3215200 A	02-11-1965	NONE	
JP 59015476 A	26-01-1984	NONE	